Shanghai Ranking's global ranking of academic subjects 2018

Gangan Prathap

We see how Indian Higher Educational Institutions (HEIs) have fared in the Shanghai Rankings 2018 for 22 subject areas in engineering and 32 subject areas in four science fields. India has a presence in 19 of the 22 subject areas in engineering, but only 13 of the 32 subject areas in the sciences in which there are institutes globally that meet the required Shanghai Rankings threshold for that area. It has no institution which can be counted at this level of size and excellence in three subjects in the Engineering field: Biomedical Engineering, Marine and Ocean Engineering and Remote Sensing. India's engineering research base is skewed towards hard-core areas like Chemical Engineering, Mechanical Engineering and Electrical and Electronics Engineering. In the four science fields, it has no institution which can be counted in 19 subjects. India's science research base is mainly skewed towards the natural sciences and mathematics areas. Its performance in the medical sciences field is passable and that in the social and life sciences is very dismal.

Keywords: Engineering, research performance, sciences, second-order indicators, Shanghai Rankings.

SHANGHAI Ranking's Global Ranking of Academic Subjects 2018 was released on Tuesday, 17 July 2018 at Shanghai, People's Republic of China by Shanghai Ranking Consultancy. Since 2009, Shanghai Ranking Consultancy (SRC) has published the Academic Ranking of World Universities (ARWU) by academic subjects. The 2018 release contains rankings in 54 subjects across Natural Sciences, Engineering, Life Sciences, Medical Sciences, and Social Sciences. More than 1600 universities from 83 countries and regions appear a total of 18,407 times on the league table. Universities from the United States appear 4661 times, followed by Chinese universities (2171 times) and universities from the United Kingdom (1487 times). University of Michigan-Ann Arbor, University of British Columbia and the University of New South Wales are presented on the league table in 52 subjects, more than any other universities. The engineering rankings are available for twenty-two engineering subjects (the list is shown in Table 1). Across four major science fields: Natural Sciences, Life Sciences, Medical Sciences, and Social Sciences, there are 32 subjects (the list is shown in Table 2).

Methodology

Shanghai Ranking Consultancy uses a transparent methodology and third-party data. Ranking indicators include

Gangan Prathap is in the A P J Abdul Kalam Technological University, Thiruvananthapuram 695 016, India.

e-mail: gangan_prathap@hotmail.com

those measures of research productivity, research quality, extent of international collaboration, research with top quality and the highest academic recognitions. The bibliometric data are from Web of Science and InCites database produced by Clarivate Analytics. The ranking indicators are:

PUB The number of papers authored by an institu-

tion in an academic subject.

CNCI Category Normalized Citation Impact from InCites to measure average impact of papers

authored by an institution in an academic

subject.

IC The percentage of internationally co-authored

papers authored by an institution in an academic subject.

define subject.

TOP The number of papers published in top jour-

nals and conferences in an academic subject.

AWARD The total number of staff of an institution winning a significant award in an academic

subject.

SRC uses a weighting system that allocates different weights for different subjects. For each indicator, scores for institutions are calculated as a percentage of the top scoring institution, then the square root of the percentage is multiplied by the allocated weight. A final score is arrived by adding scores for all indicators and the final score is ranked in descending order. We shall call these the SR ranks. In the present analysis, we shall focus on the research performance aspect of the Indian institutions

Table 1. Twenty two subject areas in the engineering field and the no. 1 universities from the SR rankings and the X-ranking protocol respectively

Field	Subject	SR no. 1	X-rank no. 1
Engineering	Aerospace Engineering	Beihang University	Georgia Institute of Technology
	Automation and Control	University of Illinois at Urbana-Champaign	University of California, Santa Barbara
	Biomedical Engineering	Harvard University	Fudan University
	Biotechnology	Harvard University	Harvard University
	Chemical Engineering	Massachusetts Institute of Technology	Beijing University of Chemical Technology
	Civil Engineering	Tongji University	University at Buffalo, the State University of New York
	Computer Science and Engineering	Massachusetts Institute of Technology	Massachusetts Institute of Technology
	Electrical and Electronic Engineering	Massachusetts Institute of Technology	University of Pennsylvania
	Energy Science and Engineering	Nanyang Technological University	Northwestern University
	Environmental Science and Engineering	Stanford University	Swiss Federal Institute of Technology Zurich
	Food Science and Technology	University of Wageningen	University of Wageningen
	Instruments Science and Technology	Harbin Institute of Technology	Harbin Institute of Technology
	Marine/Ocean Engineering	Shanghai Jiao Tong University	Shanghai Jiao Tong University
	Materials Science and Engineering	Massachusetts Institute of Technology	Stanford University
	Mechanical Engineering	University of Cambridge	University of Cambridge
	Metallurgical Engineering	University of Science and Technology Beijing	Pohang University of Science and Technology
	Mining and Mineral Engineering	The University of Queensland	The University of Queensland
	Nanoscience and Nanotechnology	Nanyang Technological University	Massachusetts Institute of Technology
	Remote Sensing	Wuhan University	California Institute of Technology
	Telecommunication Engineering	Tsinghua University	Tsinghua University
	Transportation Science and Technology	Beijing Jiaotong University	Tsinghua University
	Water Resources	University of Arizona	Flinders University

in the Shanghai Rankings for engineering. PUB (as a zeroth-order size-dependent measure of quantity of output) and TOP (as a measure of quality or excellence of output) allows us to compute $X = \text{TOP}^2/\text{PUB}$ as a second-order composite indicator of performance¹.

We shall re-rank the institutions in descending order and call these the X-ranks. Note that if an institution has both TOP and PUB equal to 100, X is 100. However, this does not mean that X cannot exceed 100! For example, in Aerospace Engineering, Georgia Institute of Technology which has an SRC rank of 2 has a PUB = 60.6 and a TOP = 100.00 yielding an X = 165.02. It has then an Xrank of 1. Beihang University was ranked no. 1 in the Shanghai Rankings for Aerospace Engineering. However, with PUB = 100 and TOP = 75.80, its X = 57.46 moves it to the 5th rank. For an example from the Social Sciences field, in Law, Yale University which has an SRC rank of 2 has a PUB = 78.2 and a TOP = 100.00 yielding an X = 127.88. It has then an X-rank of 1. Harvard University was ranked no. 1 in the Shanghai Rankings for Law. However, with PUB = 100 and TOP = 90.60, its X =82.08 moves it to the 2nd rank, behind Yale. This is not surprising as the second-order indicator rewards high quality and punishes poor quality because of the quadratic term assigned to the quality proxy¹. Tables 1 and 2 show what happens to the no. 1 position when the Xranks are used instead of the SR-ranks for the 22 subjects in the engineering field and all the 32 subjects in the sciences fields. We think that this gives a better picture than the weighting scheme used by SRC if our attention is to be restricted to research performance alone.

Results and discussions

Universities from India have been ranked by SRC in 22 engineering subjects and now assigned X-ranks as indicated above. India has a presence in 19 of the 22 subject areas in which there are institutes globally that meet the required threshold for that area. It has no institution which can be counted at this level of size and excellence in three subjects: Biomedical Engineering, Marine and Ocean Engineering and Remote Sensing. India's engineering research base is skewed towards hard-core areas, e.g. Chemical Engineering (19 institutions), Electrical and Electronics Engineering (12) and Mechanical Engineering (10). In Chemical Engineering there are 7 institutions in the top 100 X-ranks. Also, many non-IIX institutions appear in this list: Institute of Chemical Technology, Mumbai; Jadavpur University; National Institute Technology Tiruchirappalli; Sardar Vallabhbhai National Institute of Technology; Aligarh Muslim University; Banaras Hindu University; Vellore Institute of Technology; University of Calcutta; and Thapar University. IISc's 43rd rank in the X-ranks for Aerospace Engineering is also commendable. The concerted effort on

Table 2. Thirty two subject areas in the four science fields and the no. 1 universities from the SR rankings and the X-ranking protocol respectively

Field	Subject	SR no. 1	X-rank no. 1
Natural Sciences	Atmospheric Science	University of Colorado at Boulder	University of Versailles Saint-Quentin- en-Yvelines
	Chemistry	University of California, Berkeley	University of California, Berkeley
	Earth Sciences	University of Colorado at Boulder	California Institute of Technology
	Ecology	University of Montpellier	University of Exeter
	Geography	University of Oxford	Utrecht University
	Mathematics	Princeton University	Princeton University
	Oceanography	University of Washington	University of Washington
	Physics	Massachusetts Institute of Technology	University of Chicago
Life Sciences	Agricultural Sciences	University of Wageningen	University of Wageningen
	Biological Sciences	Harvard University	Harvard University
	Human Biological Sciences	Harvard University	Harvard University
	Veterinary Sciences	Ghent University	Ghent University
Medical Sciences	Clinical Medicine	Harvard University	Harvard University
	Dentistry and Oral Sciences	University of Michigan-Ann Arbor	University of Michigan-Ann Arbor
	Medical Technology	Harvard University	Harvard University
	Nursing	University of Pennsylvania	King's College London
	Pharmacy and Pharmaceutical Sciences	Harvard University	University of California, San Diego
	Public Health	Harvard University	Pompeu Fabra University
Social Sciences	Business Administration	University of Pennsylvania	Northwestern University
	Communication	The Ohio State University - Columbus	The Ohio State University – Columbus
	Economics	Harvard University	University of Chicago
	Education	Harvard University	University of California, Irvine
	Finance	New York University	University of Chicago
	Hospitality and Tourism Management	The Hong Kong Polytechnic University	The Hong Kong Polytechnic University
	Law	Harvard University	Yale University
	Library and Information Science	Harvard University	Harvard University
	Management	Harvard University	INSEAD
	Political Sciences	Harvard University	Yale University
	Psychology	Harvard University	Stanford University
	Public Administration	Erasmus University Rotterdam	Aarhus University
	Sociology	Harvard University	Stanford University
	Statistics	Harvard University	Princeton University

Nanoscience and Nanotechnology seems to be paying off slowly; there are 10 institutions, but the ranks are modest (none in the top 200).

Table 3 is a university-wise tabulation of the engineering subjects in which 24 Indian universities have been ranked by SRC. The IIT at Kharagpur shows up in 16 areas and the Indian Institute of Science appears in 13 areas. The IITs at Delhi (12), Madras (12), Bombay (11), Roorkee (10) also appear to have performed creditably. Anna University (10) and Jadavpur University (7) have also done well considering that they are state universities with vastly limited funding. The National Institutes of Technology at Rourkela, Tiruchirappalli and Surat with 1 appearance each are in this list. Thapar University (3) and Vellore Institute of Technology (1) are the only private universities that make it to this class.

These lists are somewhat different from that which have appeared recently in these pages², where a different methodology has been used. Although the WoS schema has 225 subject categories, Jaya Kumar and Pandit² chose

a coarser categorization using 22 categories reclassified into 9 topics. However, the IISc and IITs appear most frequently there as well.

Tables 4–7 show the presence of Indian universities in the four major science fields. In the Life Sciences no university from India has made the cut. India has a presence in only 13 of the 32 subject areas in which there are institutes globally that meet the required threshold for that area. It has no institution which can be counted at this level of size and excellence in 19 subjects. India's science research base is mainly skewed towards the natural sciences and mathematics areas. Here, it has no presence in Geography and Oceanography. Only Panjab University has managed to get into the Top 100 when Xranks are considered. India's performance in the medical sciences field is passable. Of the six areas in this field, it has no presence in Dentistry and Oral Sciences, Medical Technology, Nursing and Public Health. In Clinical Medicine only one institution makes the grade. In the Social Sciences field, out of 14 subject areas, it does not have a

Table 3. Engineering subjects in which Indian universities have been ranked by SRC

Institution	Subject	X-rank	SR-rank
Aligarh Muslim University	Chemical Engineering	234	301-400
Anna University	Automation and Control	186	151-200
	Biotechnology	372	301–400
	Chemical Engineering	284	101–150
	Civil Engineering	195	201–300
	Energy Science and Engineering	373	201–300
	Environmental Science and Engineering	481	401-500
	Materials Science and Engineering Mechanical Engineering	386 300	301–400 201–300
	Metallurgical Engineering	197	151-200
	Nanoscience and Nanotechnology	391	301–400
anaras Hindu University	Biotechnology	385	401–500
unutus Timuu Omiversity	Chemical Engineering	238	301–400
uru Nanak Dev University	Food Science and Technology	288	151-200
dian Institute of Science	Aerospace Engineering	43	39
	Chemical Engineering	72	201-300
	Civil Engineering	249	201-300
	Computer Science and Engineering	163	301-400
	Electrical and Electronics Engineering	257	201-300
	Energy Science and Engineering	227	301-400
	Instruments Science and Technology	137	151-200
	Materials Science and Engineering	374	201-30
	Mechanical Engineering	87	101-15
	Metallurgical Engineering	32	28
	Nanoscience and Nanotechnology	285	201-30
	Telecommunication Engineering	57	151-20
	Water Resources	109	151-200
dian Institute of Technology (Indian School of Mines), Dhanbad	Chemical Engineering	217	301–400
	Electrical and Electronics Engineering	429	401-50
	Mining and Mineral Engineering	55	51–75
dian Institute of Technology Bombay	Automation and Control	49	76–10
	Chemical Engineering	25	151–20
	Civil Engineering	192	201–30
	Electrical and Electronics Engineering	248	201–300
	Energy Science and Engineering	272	301–40
	Environmental Science and Engineering	362	401-50
	Instruments Science and Technology	122	101-15
	Materials Science and Engineering	442 109	401-50
	Mechanical Engineering Metallurgical Engineering	75	151-20
	Nanoscience and Nanotechnology	363	101–15 301–40
lian Institute of Technology Delhi	Biotechnology	274	301–40
man institute of Teenhology Denn	Chemical Engineering	165	201–30
	Civil Engineering	81	151-20
	Computer Science and Engineering	262	301–40
	Electrical and Electronics Engineering	255	151-20
	Energy Science and Engineering	390	301–40
	Environmental Science and Engineering	426	401–50
	Instruments Science and Technology	82	151-20
	Materials Science and Engineering	331	301-40
	Mechanical Engineering	215	151-20
	Nanoscience and Nanotechnology	386	301-40
	Telecommunication Engineering	98	101-15
dian Institute of Technology Guwahati	Biotechnology	324	401-50
	Chemical Engineering	81	151-20
	Electrical and Electronics Engineering	430	401-50
	Energy Science and Engineering	437	401-50
	Mechanical Engineering	168	201-30
	Nanoscience and Nanotechnology	379	301-40
dian Institute of Technology Kanpur	Chemical Engineering	37	201-30
	Electrical and Electronics Engineering	228	201-30
	Energy Science and Engineering	438	401–50
	Materials Science and Engineering	322	301–400
	Mechanical Engineering	103	101-150
	Metallurgical Engineering	96	101–150
	Nanoscience and Nanotechnology	387	301-400

(Contd)

GENERAL ARTICLE

 Table 3. (Contd)

Institution	Subject	X-rank	SR-rank
Indian Institute of Technology Kharagpur	Automation and Control	166	151-200
	Biotechnology	177	301-400
	Chemical Engineering	65	101-150
	Civil Engineering	250	201-300
	Computer Science and Engineering	444	301–400
	Electrical and Electronics Engineering	348	301–400
	Energy Science and Engineering	355	301–400
	Environmental Science and Engineering	428	401–500
	Instruments Science and Technology	123	201–300
	Materials Science and Engineering	394	301–400
	Mechanical Engineering	142	76–100
	Metallurgical Engineering	86	76–100
	Mining and Mineral Engineering	38	51–75
	Nanoscience and Nanotechnology	365	301–400
	Telecommunication Engineering	174	151–200
T. A. Co., CT. 1. 1. NO. 1.	Water Resources	117	101–150
ndian Institute of Technology Madras	Chemical Engineering	56	101–150
	Civil Engineering	89	151–200
	Computer Science and Engineering	158	401–500
	Electrical and Electronics Engineering	231	201–300
	Energy Science and Engineering	391	301–400
	Instruments Science and Technology	169	201–300
	Materials Science and Engineering	443	401–500
	Mechanical Engineering	76	51–75
	Metallurgical Engineering	45	51–75
	Nanoscience and Nanotechnology	378	301–400
	Telecommunication Engineering	126	151-200
II. A site of the state of the	Transportation Science and Technology	179	151-200
ndian Institute of Technology Roorkee	Chemical Engineering	210	151-200
	Civil Engineering	223	201–300
	Electrical and Electronics Engineering	431	401–500
	Energy Science and Engineering	349	301–400
	Environmental Science and Engineering	492	401–500
	Materials Science and Engineering	444	401–500
	Mechanical Engineering	251	151-200
	Metallurgical Engineering	146	151-200
	Nanoscience and Nanotechnology	392	301–400
The Country of the Co	Water Resources	164	151-200
ndian Statistical Institute	Computer Science and Engineering	484	401–500
nstitute of Chemical Technology, Mumbai	Chemical Engineering	43	76–100
adavpur University	Automation and Control	190	151-200
	Chemical Engineering	177	301–400
	Computer Science and Engineering	486	401–500
	Electrical and Electronics Engineering	437	401–500
	Energy Science and Engineering	441	401–500
	Instruments Science and Technology	84	151-200
1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	Mechanical Engineering	244	201–300
awaharlal Nehru University	Biotechnology	449	401–500
Vational Institute of Technology Rourkela	Electrical and Electronics Engineering	450	401–500
Validational Institute Technology Tiruchirappalli	Chemical Engineering	209	301–400
ardar Vallabhbhai National Institute of Technology	Chemical Engineering	215	401–500
hivaji University	Materials Science and Engineering	466	401–500
hapar University	Chemical Engineering	412	401-500
	Computer Science and Engineering	493	401-500
Iniversity of Coloutte	Electrical and Electronics Engineering	463	401–500
University of Calcutta	Chemical Engineering	406	401–500
University of Delhi	Biotechnology	338	301–400
7-11 In-stitute - CTh1	Nanoscience and Nanotechnology	390	301–400
Vellore Institute of Technology	Chemical Engineering	262	301–400
JA	Biomedical Engineering	NA NA	NA
NA	Marine and Ocean Engineering	NA	NA
NA	Remote Sensing	NA	NA

Table 4. Number of Indian universities which have been ranked by SRC in Natural Science subjects

Subject	Institution	X-rank	SR-rank	Total SR ranks
Atmospheric Science	Indian Institute of Technology Kanpur	240	301–400	400
-	Indian Institute of Science	280	301-400	
	Indian Institute of Technology Delhi	281	301-400	
	Indian Institute of Technology Bombay	312	301-400	
	Banaras Hindu University	333	301-400	
	Indian Institute of Technology Kharagpur	347	301-400	
Chemistry	Indian Institute of Science	316	201-300	500
•	Indian Institute of Science Education and Research (IISER), Pune	318	401-500	
	Indian Institute of Technology Bombay	382	301-400	
	Indian Institute of Technology Kanpur	411	301-400	
	Indian Institute of Technology Madras	452	401-500	
	Indian Institute of Technology Kharagpur	487	401-500	
Earth Sciences	Indian Institute of Science	325	401-500	500
	Indian Institute of Technology Kharagpur	400	401-500	
	Indian Institute of Technology Bombay	465	401-500	
Ecology	Indian Institute of Science	148	301-400	400
Geography	NA	NA	NA	200
Mathematics	Indian Institute of Science	249	301-400	500
	Indian Statistical Institute	279	201-300	
	Aligarh Muslim University	379	301-400	
	Anna University	479	301-400	
	Indian Institute of Technology Roorkee	480	401-500	
Oceanography	NA	NA	NA	200
Physics	Panjab University	83	151-200	500
•	Visva Bharati University	361	401-500	
	Indian Institute of Technology Bombay	406	401-500	
	Indian Institute of Science	459	401-500	
	Indian Institute of Science Education and Research (IISER), Kolkata	474	401-500	

Table 5. No Indian university was ranked by SRC in Life Science subjects

Subject	Institution	X-rank	SR-rank	Total SR ranks
Agricultural Sciences	NA	NA	NA	500
Biological Sciences	NA	NA	NA	500
Human Biological Sciences	NA	NA	NA	500
Veterinary Sciences	NA	NA	NA	300

single institution in 10 areas: Business Administration, Communication, Education, Hospitality and Tourism Management, Finance, Law, Library and Information Science, Psychology, Public Administration, and Sociology.

These lists are somewhat different from that which have appeared recently in these pages², where a different methodology for ranking, based on citation count alone, has been used. Although the WoS schema has 225 subject categories, a coarser categorization using 22 categories reclassified into 9 topics was chosen². Taken together, ref. 2 and the present analysis give a good view of the research landscape in India vis-à-vis the rest of the world.

Taking the engineering and science lists together, we find that a total of 33 unique HEIs have appeared 164 times. Considering that more than 1600 universities from

83 countries and regions have appeared a total of 18,407 times on the global SRC league table, India's share of HEIs in the SRC rankings is about 2.1% and its share of appearances is only 0.89%. There are very few appearances in the Top 100 X-ranks and these are mainly in engineering (24 out of 25, the sole exception being Panjab University in Physics). Chemical Engineering appears seven times in this list of 25 (see Table 3 to identify the list of institutions) and is arguably the best performing discipline in India.

Conclusions

The SRC Subject Rankings 2018 has been recently released. We use the data to see how Indian HEIs have fared in the engineering and sciences fields. India has a presence in 19 of the 22 subject areas in which there are institutes globally that meet the required threshold for that area. The Indian Institute of Science and the older Indian Institutes of Technology stand out among Indian counterparts but are far below global competitors. In three subjects: Biomedical Engineering, Marine and Ocean Engineering and Remote Sensing, it has no institution which can be counted at this level of size and excellence. India's engineering research base is skewed towards hard-core areas like Chemical Engineering,

GENERAL ARTICLE

Table 6. Number of Indian universities which have been ranked by SRC in Medical Science subjects

Subject	Institution	X-rank	SR-rank	Total SR ranks
Clinical Medicine	All India Institute of Medical Sciences	111	301–400	500
Dentistry and Oral Sciences	NA	NA	NA	300
Medical Technology	NA	NA	NA	300
Nursing	NA	NA	NA	300
Pharmacy and Pharmaceutical Sciences	Jamia Hamdard University	167	201-300	500
•	Panjab University	314	401-500	
	University of Delhi	354	401-500	
	Aligarh Muslim University	458	301-400	
	Indian Institute of Science	489	401-500	
Public Health	All India Institute of Medical Sciences	214	46	500

Table 7. Number of Indian universities which have been ranked by SRC in Social Science subjects

Subject	Institution	X-rank	SR-rank	Total SR ranks
Business Administration	NA	NA	NA	400
Communication	NA	NA	NA	300
Economics	Indian Statistical Institute	267	301-400	500
Education	NA	NA	NA	500
Hospitality and Tourism Management	NA	NA	NA	200
Finance	NA	NA	NA	200
Law	NA	NA	NA	200
Library and Information Science	NA	NA	NA	100
Management	Indian Institute of Management, Bangalore	142	301-400	500
	Indian Institute of Management, Ahmedabad	216	401-500	
	Indian Institute of Technology, Kharagpur	478	401-500	
Political Sciences	Jawaharlal Nehru University	284	301-400	400
Psychology	NA	NA	NA	500
Public Administration	NA	NA	NA	200
Sociology	NA	NA	NA	200
Statistics	Indian Statistical Institute	116	51-75	200

Mechanical Engineering and Electrical and Electronics Engineering.

In the four main science fields: Natural Sciences, Life Sciences, Medical Sciences, and Social Sciences, India has a presence in only 13 of the 32 subject areas in which there are institutes that meet the SRC standards of excellence. It has no institution in 19 subjects. India's science research base is mainly skewed towards the Natural Sciences and Mathematics areas. Its performance in the medical sciences field is passable and that in the Social

and Life Sciences is very dismal. It therefore has a long way to go to meet global standards.

Received 10 August 2018; revised accepted 22 October 2018

doi: 10.18520/cs/v116/i2/232-238

^{1.} Prathap, G., The Energy–Exergy–Entropy (or EEE) sequences in bibliometric assessment. *Scientometrics*, 2011, **87**(3), 515–524.

Jaya Kumar, A. and Pandit, R., Science and engineering research in India (1985–2016): insights from two scientometric databases. *Curr. Sci.*, 2018, 115(3), 399–409.