

Use of machine learning algorithms for damage estimation of reinforced concrete buildings*

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Identifying the vulnerabilities in a building is a crucial step towards earthquake risk mitigation. Rapid visual screening is a quick and popular method for seismic vulnerability assessment. It helps identify buildings that require detailed investigation, which is done by modelling using seismic analysis software. This is a time-consuming and resource-intensive task. This article proposes the use of machine learning to bypass the seismic analysis of buildings. A case study using 1296 building models and maximum inter-storey drift ratio as the measure of damage has been presented. Random forest gives the best prediction accuracy in the study.

Keywords: Damage estimation, earthquakes, machine learning, rapid visual screening, reinforced concrete building.

ABOUT 60% of the land mass in India is part of the moderate to severe earthquake-prone areas, where over 80% of the population lives¹. Combining this with poor construction and maintenance practices of concrete structures leads to loss of life and property, which was evident in the 2001 Bhuj earthquake that caused around 14,000 casualties². Hence, identifying the vulnerabilities of a building is of utmost importance for earthquake preparedness.

For this, a three-tier approach is followed in most countries consisting of the following. Phase 1: Rapid visual screening (RVS). Phase 2: Preliminary assessment of buildings. Phase 3: Detailed seismic evaluation of the selected buildings.

Phase 1 is a quick way of assessing the vulnerabilities of a building. It requires an experienced screener to visually inspect the buildings. Though it is a quick process, selection of RVS forms plays an important role in determining the vulnerability of buildings, as each area requires a different RVS form and each form can give different weightages to a particular vulnerability. Attempts to improve RVS forms like the one involving division and weighing

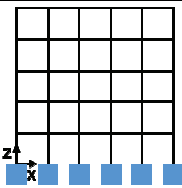
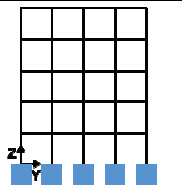
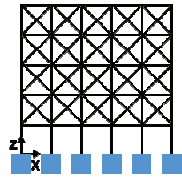
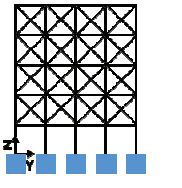
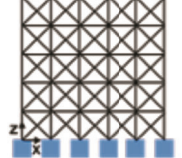
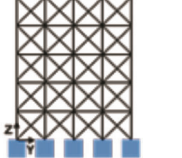
of life-threatening factors and economic loss-inducing factors to arrive at a composite score have been made³. However, RVS forms are limited by the area for which they have been designed and hence a global vulnerability index is difficult to achieve. Preliminary and detailed evaluation is required, when there is a need for in-depth evaluation of buildings.

Detailed evaluation is (i) complex, as it requires collection of blueprints of building, identifying sizes of different members, load calculation and strength-related checks², (ii) resource intensive, requiring up-to-date computers and (iii) expensive, as it requires a lot of manpower to model and analyse all the buildings.

Machine learning (ML) has been used to solve many complex problems in multiple disciplines such as earthquake prediction, prediction of compressive strength of concrete^{4,5}, and damage estimation in buildings using image data⁶⁻⁸.

Although attempts to tackle the problem of building damage assessment have been made^{9,10}, they are restricted in scope as they rely on data of a single earthquake and are tailored specifically to the buildings surveyed in the

Table 1. Types of structures

| Structure type | Diagram | |
|--------------------|---|---|
| Bare frame |  |  |
| Open ground storey |  |  |
| Fully braced |  |  |

*The data and material, and code used in this study will be made available on request.

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Table 2. Parameters used to make building models

| Parameters | Minimum value | Maximum value | |
|---|-------------------------|-------------------------------|-----------------------|
| Number of storeys | Two storeys | Ten storeys | |
| Number of bays in the X -direction | Two bays | Five bays | |
| Number of bays in the Y -direction | Two bays | Five bays | |
| Types of structures | Fully braced structures | Open ground-storey structures | Bare frame structures |
| Ratio of area moment of inertia of the column to that of beam (I_c/I_b) | 0.75 | 1 | 1.25 |

Table 3. Building components dimension

| No. of storeys | Column dimension (mm) | Beam dimension (mm) | I_c/I_b |
|----------------|-----------------------|---------------------|-----------|
| 2–5 | 230 × 230 | 245 × 245 | 0.75 |
| 6 | 245 × 245 | 265 × 265 | 0.75 |
| 7 | 270 × 270 | 290 × 290 | 0.75 |
| 8 | 290 × 290 | 310 × 310 | 0.75 |
| 9 | 325 × 325 | 350 × 350 | 0.75 |
| 10 | 370 × 370 | 400 × 400 | 0.75 |
| 2–5 | 230 × 230 | 230 × 230 | 1.00 |
| 6 | 240 × 240 | 240 × 240 | 1.00 |
| 7 | 270 × 270 | 270 × 270 | 1.00 |
| 8 | 290 × 290 | 290 × 290 | 1.00 |
| 9 | 325 × 325 | 325 × 325 | 1.00 |
| 10 | 355 × 355 | 355 × 355 | 1.00 |
| 2–5 | 230 × 230 | 220 × 220 | 1.25 |
| 6 | 235 × 235 | 220 × 220 | 1.25 |
| 7 | 265 × 265 | 250 × 250 | 1.25 |
| 8 | 290 × 290 | 275 × 275 | 1.25 |
| 9 | 310 × 310 | 295 × 295 | 1.25 |
| 10 | 345 × 345 | 325 × 325 | 1.25 |

Table 4. Damage state of buildings

| Damage state | Maximum inter-storey drift ratio (%) |
|--------------|--------------------------------------|
| 1 | ≤0.4 |
| 2 | 0.4–1 |
| 3 | 1–2 |
| 4 | 2–3 |
| 5 | 3–4 |
| 6 | >0.4 |

affected area. This makes it difficult to use for any future earthquake events or events in other areas. The study by Chaurasia *et al.*¹¹, where neural network and Random Forest algorithms have been compared to obtain damages in buildings surveyed during the Gorkha earthquake in Nepal, is also plagued by the same problem.

Here, we identify vulnerable structures before an earthquake occurs using ML. For this study, maximum inter-storey drift ratio (MISDR), which is the ratio of the difference between the roof displacement of a storey and the storey below it to the height of the storey, has been divided into different damage states and is used as a meas-

ure of the amount of damage sustained by the structures. Logistic regression (log R), k -nearest neighbours (KNN), support vector machine (SVM), Naïve bayes (NB), decision tree classification (DTC) and Random Forest Classification (RFC) are the classification algorithms, and decision tree regression (DTR), linear regression (LR), polynomial regression (PolR), support vector regression (SVR) and random forest regression (RFR) are the regression algorithms that have been used to predict the damage state of buildings for Bhuj and Chamoli ground motions¹².

Dataset

One thousand two hundred and ninety-six different building models were designed and analysed using SAP2000 (ref. 13). Each building model belongs to one of the types mentioned in Table 1 and have been obtained using every permutation of the parameters listed in Table 2. Time-history (TH) analysis was performed on these models to obtain MISDR, which was then used for training and testing.

Modelling

All the buildings have been designed for gravity loads according to IS 456: 2000 (ref. 14). Table 3 lists the dimensions of beams and columns used in different types of models. Slab of thickness 150 mm is present at every storey level. The base of all the models is fixed. Diagonal struts have been designed according to IS 1893 (Part 1): 2016 (ref. 15). Beams and columns are made using M25-grade concrete and the grade of steel is HYSD 415. The length of the bay in each direction as well as the height of each storey is 3 m.

Methodology

Two approaches for damage estimation of reinforced concrete (RC) buildings during an earthquake have been discussed. Damage of building during an earthquake is defined by the damage state in which the building lies when the ground motion (GM) acts on it (Table 4).

FEMA 356, broadly divides the damage states of buildings into collapse prevention, life safety and immediate

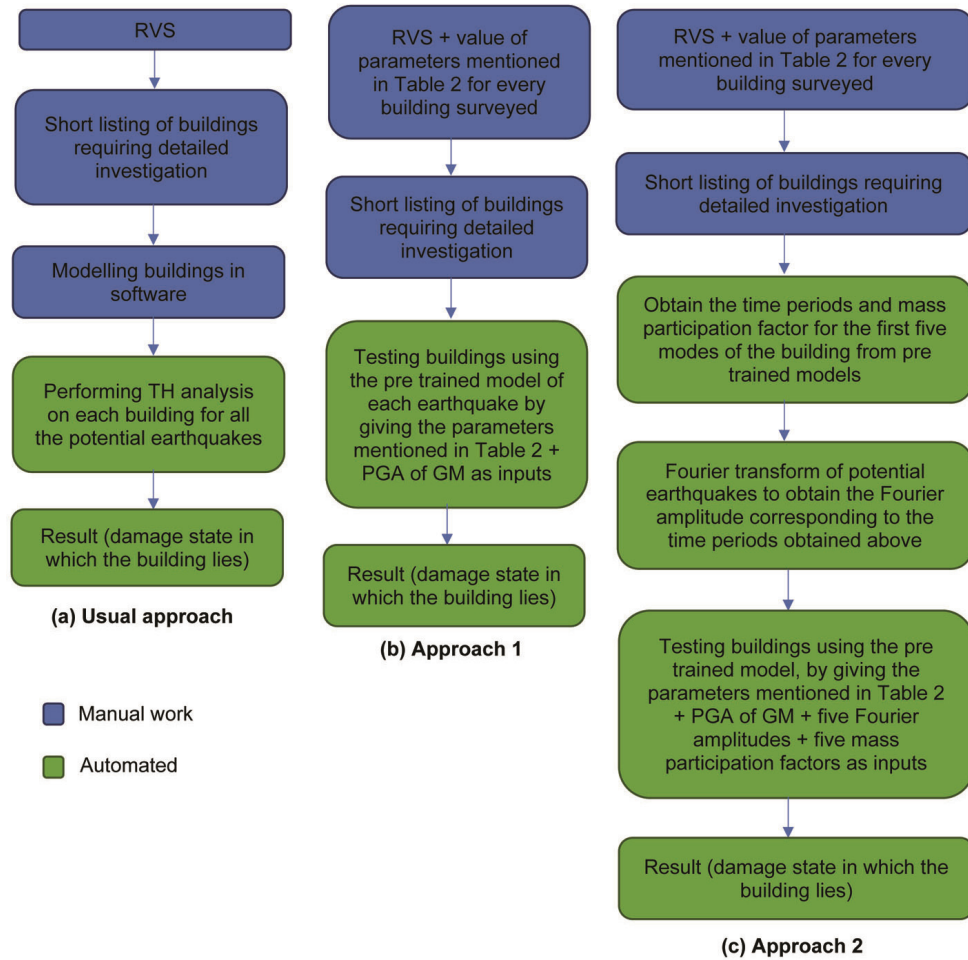


Figure 1. Approaches to estimate building damage.

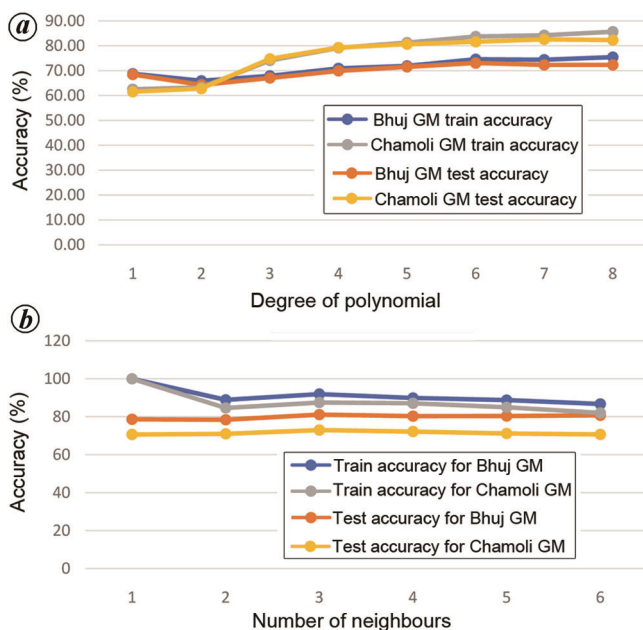


Figure 2. Parameter selection for (a) PoLR: accuracy versus degree of polynomial and (b) KNN: accuracy versus no. of neighbours.

occupancy having drift limitations of 4%, 2% and 1% respectively¹⁶. Taking this into consideration, the damage states in this study are obtained by dividing the MISDR into six states as described in Table 4. This is done to get a more accurate prediction about the damage state in which the building lies.

Dataset is generated by carrying out linear TH analysis on 1296 building models using Bhuj and Chamoli GMs. TH analysis is carried out on each building model for both earthquakes by varying the peak ground acceleration (PGA) from 0.1 g to 1 g at an interval of 0.1 g. This creates a total of 12,960 data points corresponding to each earthquake. These data points are divided into training and testing datasets in 80 : 20 ratio.

In approach 1, the five variables mentioned in Table 2 along with PGA of GMs are the input to the model. In this approach, a separate model is required for every GM being considered.

For the second approach, modal analysis of each model is carried out. The time period and modal mass participation factors for the first five modes obtained from the modal analysis are fitted with the regression models. This

Table 5. Parameters used in machine learning (ML) models

| Model | Parameters for Bhuj GM | Parameters for Chamoli GM | Parameters for combined data |
|-------------------|--|--|--|
| LR | Loss function: Residual sum of squares | Loss function: Residual sum of squares | N/A |
| PolR | Loss function: Residual sum of squares Degree of polynomial: 6 Parameter selection: Backward elimination with significance level 5% | Loss function: Residual sum of squares Degree of polynomial: 7 Parameter selection: Backward elimination with significance level 5% | N/A |
| SVR (epsilon-SVR) | Kernel: Sigmoid Regularization parameter (C): 50 Regularization penalty: 12 Epsilon: 0.2 Kernel coefficient (gamma): 0.1 Independent term: -2 Parameter selection: Grid Search with mean squared error scoring | Kernel: Sigmoid C: 14 Regularization penalty: 12 Epsilon: 0.1 Gamma: 0.1 Independent term: -3 Parameter selection: Grid Search with mean squared error scoring | N/A |
| DTR | Loss function: Mean squared error Maximum depth: 195 Parameter selection: Grid Search with mean squared error scoring | Loss function: Mean squared error Maximum depth: 19 Parameter selection: Grid Search with mean squared error scoring | Loss function: Mean squared error Maximum depth: 70 Parameter selection: Grid Search with mean squared error scoring |
| RFR | Loss function: Mean squared error Number of estimators: 1000 Parameter selection: Grid Search with mean squared error scoring | Loss function: Mean squared error Number of estimators: 1000 Parameter selection: Grid Search with mean squared error scoring | Loss function: Mean squared error Number of estimators: 332 Parameter selection: Grid Search with mean squared error scoring |
| logR | Solver: Newton-cg Penalty: 12 C: 1 Iterations: 100 | Solver: Newton-cg Penalty: 12 C: 1 Iterations: 100 | N/A |
| KNN | Number of neighbours: 3 Distance metric used: Euclidean distance Parameter selection: Figure 2 b | Number of neighbours: 3 Distance metric used: Euclidean distance Parameter selection: Figure 2 b | N/A |
| NB | Distribution: Gaussian | Distribution: Gaussian | N/A |
| DTC | Criterion: Gini impurity Maximum depth: 18 Parameter selection: Grid Search with accuracy scoring | Criterion: Gini impurity Maximum depth: 19 Parameter selection: Grid Search with accuracy scoring | Criterion: Gini impurity Maximum depth: 25 Parameter selection: Grid Search with accuracy scoring |
| RFC | Criterion: Entropy Number of estimators: 162 Parameter selection: Grid Search with accuracy scoring | Criterion: Entropy Number of estimators: 177 Parameter selection: Grid Search with accuracy scoring | Criterion: Entropy Number of estimators: 280 Parameter selection: Grid Search with accuracy scoring |
| SVM | Kernel: rbf C: 100 Gamma: 0.026 Parameter selection: Grid Search with accuracy scoring | Kernel: rbf C: 101 Gamma: 0.026 Parameter selection: Grid Search with accuracy scoring | N/A |

helps in the prediction of time periods and modal mass participation factor for a new building being tested. The first five modes are chosen as they give a combined mass participation factor of over 90%. Fourier transform is applied to each of the earthquake GMs to obtain the Fourier amplitudes corresponding to each of the time periods of the first five modes. The Fourier amplitudes along with mass participation factors are the additional variables in this model. This is done so that a single model is capable of predicting the damage state of building subjected to any GM. A total of 25,920 data points is available in this approach.

Figure 1 a shows the workflow required to get the damage state of a building in case ML is not used. Figure 1 b displays the workflow when approach 1 is used, while Figure 1 c shows the workflow when approach 2 is used for the same task. Five regression and six classification algorithms mentioned above have been fitted to the data in approach 1, while only DTC, RFC, DTR and RFR are fitted to the dataset in approach 2.

Table 5 shows the parameter used for various ML models. Parameters for PolR and KNN models have been obtained by plotting accuracy versus degree of polynomial (Figure 2 a) and accuracy versus number of neighbours

Table 6. Confusion matrix of each damage state

| Confusion matrix | | State 1 | State 2 | State 3 | State 4 | State 5 | State 6 | | | | | | |
|-------------------|------------|---------|---------|---------|---------|---------|---------|-------|-------|-------|-------|-------|-------|
| Bhuj GM | | | | | | | | | | | | | |
| LR | Train data | 8,471 | 685 | 9,345 | 66 | 9,291 | 129 | 9,543 | 159 | 9,686 | 211 | 2,267 | 1,987 |
| | | 314 | 898 | 921 | 36 | 886 | 62 | 646 | 20 | 455 | 16 | 15 | 6,099 |
| | Test data | 2,075 | 181 | 2,336 | 18 | 2,312 | 22 | 2,397 | 44 | 2,417 | 58 | 607 | 493 |
| | | 80 | 256 | 228 | 10 | 244 | 14 | 147 | 4 | 115 | 2 | 2 | 1,490 |
| PolR | Train data | 8,729 | 427 | 8,897 | 514 | 8,744 | 676 | 9,303 | 399 | 9,554 | 343 | 3,979 | 275 |
| | | 480 | 732 | 638 | 319 | 536 | 412 | 449 | 217 | 337 | 134 | 194 | 5,920 |
| | Test data | 2,131 | 125 | 2,232 | 122 | 2,136 | 198 | 2,327 | 114 | 2,394 | 81 | 1,042 | 58 |
| | | 140 | 196 | 171 | 67 | 142 | 116 | 111 | 40 | 85 | 32 | 49 | 1,443 |
| SVR | Train data | 8,665 | 486 | 9,095 | 326 | 8,899 | 513 | 9,104 | 605 | 9,484 | 407 | 3,890 | 366 |
| | | 264 | 953 | 765 | 182 | 655 | 301 | 458 | 201 | 374 | 103 | 187 | 5,925 |
| | Test data | 2,129 | 132 | 2,264 | 80 | 2,207 | 135 | 2,287 | 147 | 2,383 | 98 | 1,011 | 87 |
| | | 68 | 263 | 198 | 50 | 170 | 80 | 111 | 47 | 82 | 29 | 50 | 1,444 |
| DTR | Train data | 9,151 | 0 | 9,421 | 0 | 9,421 | 0 | 9,709 | 0 | 9,891 | 0 | 4,256 | 0 |
| | | 0 | 1,217 | 0 | 947 | 0 | 956 | 0 | 659 | 0 | 477 | 0 | 6,112 |
| | Test data | 2,232 | 29 | 2,260 | 84 | 2,258 | 84 | 2,350 | 84 | 2,430 | 51 | 1,042 | 56 |
| | | 38 | 293 | 65 | 183 | 96 | 154 | 76 | 82 | 72 | 39 | 41 | 1,453 |
| RFR | Train data | 8,632 | 13 | 8,856 | 44 | 8,940 | 40 | 9,298 | 44 | 9,429 | 53 | 6,443 | 48 |
| | | 32 | 1,691 | 32 | 1,436 | 32 | 1,356 | 55 | 971 | 72 | 814 | 19 | 3,858 |
| | Test data | 2,100 | 8 | 2,217 | 28 | 2,229 | 14 | 2,312 | 34 | 2,349 | 35 | 1,607 | 27 |
| | | 20 | 464 | 16 | 331 | 25 | 324 | 27 | 219 | 44 | 164 | 14 | 944 |
| logR | Train data | 8,955 | 201 | 8,988 | 423 | 8,856 | 564 | 9,589 | 113 | 9,897 | 0 | 3,383 | 871 |
| | | 168 | 1,044 | 326 | 631 | 474 | 474 | 629 | 37 | 471 | 0 | 104 | 6,010 |
| | Test data | 2,199 | 57 | 2,238 | 116 | 2,183 | 151 | 2,415 | 26 | 2,475 | 0 | 905 | 195 |
| | | 53 | 283 | 93 | 145 | 113 | 145 | 148 | 3 | 117 | 0 | 21 | 1,471 |
| KNN | Train data | 9,096 | 60 | 9,279 | 132 | 9,061 | 359 | 9,549 | 153 | 9,870 | 27 | 4,150 | 104 |
| | | 28 | 1,184 | 90 | 867 | 145 | 803 | 249 | 417 | 289 | 182 | 34 | 6,080 |
| | Test data | 2,208 | 48 | 2,253 | 101 | 2,207 | 127 | 2,339 | 102 | 2,439 | 36 | 1,025 | 75 |
| | | 50 | 286 | 73 | 165 | 96 | 162 | 109 | 42 | 103 | 14 | 58 | 1,434 |
| NB | Train data | 6,960 | 2,196 | 9,411 | 0 | 9,420 | 0 | 9,702 | 0 | 9,897 | 0 | 3,286 | 968 |
| | | 0 | 1,212 | 957 | 0 | 948 | 0 | 666 | 0 | 471 | 0 | 122 | 5,992 |
| | Test data | 1,680 | 576 | 2,354 | 0 | 2,334 | 0 | 2,441 | 0 | 2,475 | 0 | 883 | 217 |
| | | 0 | 336 | 238 | 0 | 258 | 0 | 151 | 0 | 117 | 0 | 29 | 1,463 |
| DTC | Train data | 9,156 | 0 | 9,411 | 0 | 9,420 | 0 | 9,702 | 0 | 9,897 | 0 | 4,254 | 0 |
| | | 0 | 1,212 | 0 | 957 | 0 | 948 | 0 | 666 | 0 | 471 | 0 | 6,114 |
| | Test data | 2,224 | 32 | 2,276 | 78 | 2,259 | 75 | 2,347 | 94 | 2,396 | 79 | 1,050 | 50 |
| | | 40 | 296 | 68 | 170 | 90 | 168 | 75 | 76 | 76 | 41 | 59 | 1,433 |
| RFC | Train data | 9,156 | 0 | 9,411 | 0 | 9,420 | 0 | 9,702 | 0 | 9,897 | 0 | 4,254 | 0 |
| | | 0 | 1,212 | 0 | 957 | 0 | 948 | 0 | 666 | 0 | 471 | 0 | 6,114 |
| | Test data | 2,238 | 18 | 2,304 | 50 | 2,265 | 69 | 2,353 | 88 | 2,430 | 45 | 1,044 | 56 |
| | | 26 | 310 | 43 | 195 | 65 | 193 | 71 | 80 | 84 | 33 | 37 | 1,455 |
| SVM | Train data | 9,076 | 80 | 9,229 | 182 | 9,196 | 224 | 9,498 | 204 | 9,806 | 91 | 4,111 | 143 |
| | | 79 | 1,133 | 146 | 811 | 176 | 772 | 197 | 469 | 234 | 237 | 92 | 6,022 |
| | Test data | 2,232 | 24 | 2,286 | 68 | 2,256 | 78 | 2,355 | 86 | 2,416 | 59 | 1,051 | 49 |
| | | 33 | 303 | 52 | 186 | 72 | 186 | 74 | 77 | 85 | 32 | 48 | 1,444 |
| Chamoli GM | | | | | | | | | | | | | |
| LR | Train data | 8,176 | 465 | 8,694 | 203 | 8,522 | 464 | 8,657 | 672 | 8,427 | 1,083 | 5,465 | 1,012 |
| | | 583 | 1,144 | 1,039 | 432 | 900 | 482 | 609 | 430 | 651 | 207 | 117 | 3,774 |
| | Test data | 1,986 | 126 | 2,197 | 51 | 2,128 | 109 | 2,164 | 195 | 2,094 | 262 | 1,387 | 261 |
| | | 157 | 323 | 246 | 98 | 250 | 105 | 133 | 100 | 188 | 48 | 30 | 914 |
| PolR | Train data | 8,337 | 304 | 8,456 | 441 | 8,717 | 269 | 9,162 | 167 | 9,329 | 181 | 6,350 | 127 |
| | | 298 | 1,429 | 501 | 970 | 231 | 1,151 | 171 | 868 | 212 | 646 | 76 | 3,815 |
| | Test data | 2,029 | 83 | 2,111 | 137 | 2,134 | 103 | 2,315 | 44 | 2,303 | 53 | 1,610 | 38 |
| | | 97 | 383 | 154 | 190 | 66 | 289 | 57 | 176 | 60 | 176 | 24 | 920 |
| SVR | Train data | 8,450 | 195 | 8,575 | 325 | 8,669 | 311 | 9,068 | 274 | 9,129 | 353 | 6,245 | 246 |
| | | 228 | 1,495 | 384 | 1,084 | 229 | 1,159 | 325 | 701 | 383 | 503 | 155 | 3,722 |
| | Test data | 2,072 | 36 | 2,145 | 100 | 2,169 | 74 | 2,289 | 57 | 2,304 | 80 | 1,565 | 69 |
| | | 66 | 418 | 70 | 277 | 60 | 289 | 87 | 159 | 100 | 108 | 33 | 925 |
| DTR | Train data | 8,645 | 0 | 8,900 | 0 | 8,980 | 0 | 9,342 | 0 | 9,482 | 0 | 6,491 | 0 |
| | | 0 | 1,723 | 0 | 1,468 | 0 | 1,388 | 0 | 1,026 | 0 | 886 | 0 | 3,877 |

(Contd)

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Table 6. (Contd)

| Confusion matrix | State 1 | State 2 | State 3 | State 4 | State 5 | State 6 | | | | | | |
|------------------|---------|---------|---------|---------|---------|---------|--------|-------|--------|-------|--------|-------|
| Test data | 2,098 | 10 | 2,217 | 28 | 2,226 | 17 | 2,319 | 27 | 2,344 | 40 | 1,613 | 21 |
| RFR Train data | 15 | 469 | 16 | 331 | 24 | 325 | 31 | 215 | 36 | 172 | 21 | 937 |
| Test data | 8,635 | 10 | 8,859 | 41 | 8,936 | 44 | 9,295 | 47 | 9,430 | 52 | 6,443 | 48 |
| logR Train data | 28 | 1,695 | 33 | 1,435 | 34 | 1,354 | 55 | 971 | 74 | 812 | 18 | 3,859 |
| Test data | 2,101 | 7 | 2,215 | 30 | 2,228 | 15 | 2,313 | 33 | 2,347 | 37 | 1,604 | 30 |
| logR Train data | 22 | 462 | 15 | 332 | 25 | 324 | 30 | 216 | 46 | 162 | 14 | 944 |
| Test data | 8,418 | 223 | 8,197 | 700 | 8,249 | 737 | 8,855 | 474 | 9,400 | 110 | 5,808 | 669 |
| KNN Train data | 141 | 1,586 | 541 | 930 | 702 | 680 | 646 | 393 | 768 | 90 | 115 | 3,776 |
| Test data | 2,063 | 49 | 2,039 | 209 | 2,042 | 195 | 2,236 | 123 | 2,332 | 24 | 1,481 | 167 |
| KNN Train data | 38 | 442 | 129 | 215 | 204 | 151 | 157 | 76 | 208 | 28 | 31 | 913 |
| Test data | 8,589 | 52 | 8,606 | 291 | 8,523 | 463 | 8,966 | 363 | 9,446 | 64 | 6,418 | 59 |
| NB Train data | 31 | 1,696 | 123 | 1,348 | 291 | 1,091 | 350 | 689 | 449 | 409 | 48 | 3,843 |
| Test data | 2,088 | 24 | 2,097 | 151 | 2,027 | 210 | 2,202 | 157 | 2,287 | 69 | 1,559 | 89 |
| NB Train data | 39 | 441 | 104 | 240 | 156 | 199 | 146 | 87 | 194 | 42 | 61 | 883 |
| Test data | 6,953 | 1,688 | 8,398 | 499 | 8,282 | 704 | 8,965 | 364 | 9,477 | 33 | 5,867 | 610 |
| DTC Train data | 7 | 1,720 | 1,278 | 184 | 874 | 508 | 757 | 282 | 835 | 23 | 138 | 3,753 |
| Test data | 1,677 | 435 | 2,125 | 123 | 2,053 | 184 | 2,264 | 95 | 2,351 | 5 | 1,487 | 161 |
| RFC Train data | 3 | 477 | 309 | 35 | 251 | 104 | 174 | 59 | 230 | 6 | 36 | 908 |
| Test data | 8,641 | 0 | 8,897 | 0 | 8,986 | 0 | 9,329 | 0 | 9,510 | 0 | 6,477 | 0 |
| SVM Train data | 0 | 1,727 | 0 | 1,471 | 0 | 1,382 | 0 | 1,039 | 0 | 858 | 0 | 3,891 |
| Test data | 2,104 | 8 | 2,217 | 31 | 2,199 | 38 | 2,324 | 35 | 2,310 | 46 | 1,629 | 19 |
| RFC Train data | 12 | 468 | 25 | 319 | 31 | 324 | 45 | 188 | 41 | 195 | 23 | 921 |
| Test data | 8,641 | 0 | 8,897 | 0 | 8,986 | 0 | 9,329 | 0 | 9,510 | 0 | 6,477 | 0 |
| SVM Train data | 0 | 1,727 | 0 | 1,471 | 0 | 1,382 | 0 | 1,039 | 0 | 858 | 0 | 3,891 |
| Test data | 2,106 | 6 | 2,201 | 47 | 2,198 | 39 | 2,299 | 60 | 2,311 | 45 | 1,589 | 59 |
| RFC Train data | 27 | 453 | 24 | 320 | 37 | 318 | 47 | 186 | 99 | 137 | 22 | 922 |
| Test data | 8,560 | 81 | 8,756 | 141 | 8,909 | 77 | 9,145 | 184 | 9,373 | 137 | 6,317 | 160 |
| SVM Train data | 91 | 1,636 | 121 | 1,350 | 127 | 1,255 | 118 | 921 | 240 | 618 | 83 | 3,808 |
| Test data | 2,092 | 20 | 2,178 | 70 | 2,183 | 54 | 2,273 | 86 | 2,298 | 58 | 1,595 | 53 |
| Combined data | 40 | 440 | 49 | 295 | 65 | 290 | 61 | 172 | 95 | 141 | 31 | 913 |
| DTR Train data | 17,698 | 0 | 18,320 | 0 | 18,415 | 0 | 19,075 | 0 | 19,388 | 0 | 10,784 | 0 |
| Test data | 0 | 3,038 | 0 | 2,416 | 0 | 2,321 | 0 | 1,661 | 0 | 1,348 | 0 | 9,952 |
| DTC Train data | 4,432 | 35 | 4,511 | 79 | 4,461 | 101 | 4,651 | 105 | 4,756 | 94 | 2,626 | 69 |
| Test data | 39 | 678 | 74 | 520 | 91 | 531 | 109 | 319 | 111 | 223 | 50 | 2,430 |
| RFR Train data | 17,732 | 0 | 18,299 | 0 | 18,385 | 0 | 19,051 | 0 | 19,405 | 0 | 10,808 | 0 |
| Test data | 0 | 3,004 | 0 | 2,437 | 0 | 2,351 | 0 | 1,685 | 0 | 1,331 | 0 | 9,928 |
| RFC Train data | 4,400 | 33 | 4,528 | 83 | 4,521 | 71 | 4,647 | 133 | 4,724 | 109 | 2,598 | 73 |
| Test data | 39 | 712 | 65 | 508 | 107 | 485 | 102 | 302 | 123 | 228 | 66 | 2,447 |
| SVM Train data | 17,672 | 26 | 18,211 | 109 | 18,299 | 116 | 18,932 | 143 | 19,238 | 150 | 10,658 | 126 |
| Test data | 30 | 3,008 | 49 | 2,367 | 53 | 2,268 | 59 | 1,602 | 129 | 1,219 | 54 | 9,898 |
| RFC Train data | 4,455 | 12 | 4,512 | 78 | 4,482 | 80 | 4,652 | 104 | 4,776 | 74 | 2,621 | 74 |
| Test data | 32 | 685 | 16 | 578 | 22 | 600 | 18 | 410 | 17 | 317 | 14 | 2,475 |
| SVM Train data | 17,698 | 0 | 18,320 | 0 | 18,415 | 0 | 19,075 | 0 | 19,388 | 0 | 10,784 | 0 |
| Test data | 0 | 3,038 | 0 | 2,416 | 0 | 2,321 | 0 | 1,661 | 0 | 1,348 | 0 | 9,952 |
| RFC Train data | 4,432 | 35 | 4,506 | 84 | 4,458 | 104 | 4,647 | 109 | 4,768 | 82 | 2,591 | 104 |
| Test data | 34 | 683 | 75 | 519 | 104 | 518 | 122 | 306 | 147 | 187 | 36 | 2,453 |

(Figure 2b) respectively. The value of degree of polynomial in PoLR and number of neighbours in KNN after which the test accuracy decreases even when the train accuracy increases, i.e. indicating overfitting, has been chosen as the best fitting parameter in the respective models.

For fitting the regression algorithms to the data, the actual value of MISDR obtained from TH analysis is used for fitting and predictions. Then these values are converted into the damage states to obtain prediction accuracies. For the classification algorithms MISDR of the entire

dataset is converted into damage states. This is used instead of the actual MISDR for fitting and prediction.

The accuracies of prediction for each model can be calculated using eq. (1) below.

$$\text{Accuracy} = \frac{\text{Correctly classified data points}}{\text{Total number of data points}} \quad (1)$$

The accuracies for models have been obtained by ten-fold cross-validation. Tables 6a-c shows the confusion matrices

for Bhuj GM, Chamoli GM and combined data. Each element of the confusion matrix contains four numbers. The top left, top right, bottom left, bottom right numbers represent the number of true negative, false positive, false negative and true positive respectively.

Accuracy can also be obtained by adding all the true positive numbers for a particular model and dividing it by the total number of data points, which can be obtained by adding the four numbers of a cell.

For example, in case of state 1 train data of LR in Bhuj GM, true negative = 8471, false positive = 685, false negative = 314, true positive = 898 and total data points = 10,368. Similarly, true positive values for states 2, 3, 4, 5 and 6 are 36, 62, 20, 16 and 6099 respectively.

Hence, accuracy = $(898 + 36 + 62 + 20 + 16 + 6099) / 10,368 = 68.78\%$.

Time-history analysis

TH analysis provides dynamic structural response under loading which varies with time. TH analysis has been carried out in SAP2000, and Figures 3 and 4 show the results. The 1296 building models consist of 432 buildings in each type of structure, i.e. open ground storey, bare frame and fully braced structures. These 432 models in each type consist of 48 structures each of two storeys, three storeys up to ten storeys. It is evident from Figure 3 that when all the structures are considered, about 59% and 37% of the buildings are in damage state 6 when Bhuj and Chamoli GMs act respectively.

Fully braced buildings perform significantly better than the other types of structures. Only a minuscule percentage of buildings go into damage states above 3 for both GMs. While no building was present in damage state 1 or 2 when Bhuj GM acted on open ground-storey buildings, 63% of the buildings were in damage states 1 and 2 when the structure was fully braced.

Bare frame structures perform worse than fully braced buildings, but are better than open ground-storey structures. Only 44% of buildings go into damage state 6 as opposed to 68% in the case of buildings with an open ground storey when Chamoli GM acts on them. Majority of the buildings up to six storeys stay in damage state 1 and only a small percentage of structures go into damage state 4 or above. However, in the case of open ground-storey structures, majority of the buildings are present in damage state 6.

Bhuj earthquake appears to be more detrimental for buildings compared to Chamoli GM. From Figure 3, it can be observed that in fully braced buildings, a pattern similar to that observed in Chamoli GM is followed, with the exception of some buildings that do go into damage states 5 and 6. Also, 80% of open ground-storey buildings in each case other than the two-storey structures go into damage state 6. Similar observations can be made for bare frame buildings.

Results and observations

The usual approach of tackling the problem requires manual work in modelling buildings using software, which requires significant amount of time and computing power.

We tackled these problems using two approaches which give instant results. Only a few additional parameters mentioned in Figure 1 *a* and *b* are required to obtain the results.

From TH analysis, it can be observed that fully braced structures perform significantly better than bare frame structures, which marginally outperform structures with an open ground-storey. This can be attributed to the fact that open ground-storey buildings suffer a drop in stiffness and strength at the ground-storey level, which dissipates most of the energy and causes damage, while fully braced structures have similar strengths and stiffness at each storey level.

Figure 5 indicates the relative importance of different parameters in influencing the damage state prediction of structures. For individual GM data, the type of structure, PGA and number of storeys are more important to obtain the results. Whereas, in a combined dataset, Fourier amplitude, PGA and type of structure are more useful to determine the results.

Figure 6 *a* and *b* shows the train and test accuracies obtained by ten-fold cross-validation for models using approach 1. In both cases, DTC, RFC, DTR and RFR perform better than the other algorithms, with the train and test accuracies being close to each other in case of RFR.

Hence for approach 2, only DTC, RFC, DTR and RFR were used. Figure 6 *c* presents the results for approach 2. While approach 2 is more beneficial for the problem, RFR yields good results in both approaches.

The accuracy calculated from the confusion matrix may vary slightly from that mentioned in Figure 6 as it is created by taking a random distribution of data and not from ten-fold cross validation.

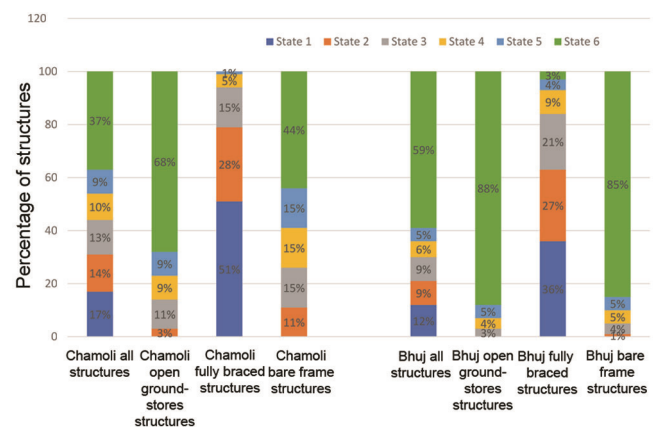


Figure 3. Damage state distribution of structures for Bhuj and Chamoli ground motions.

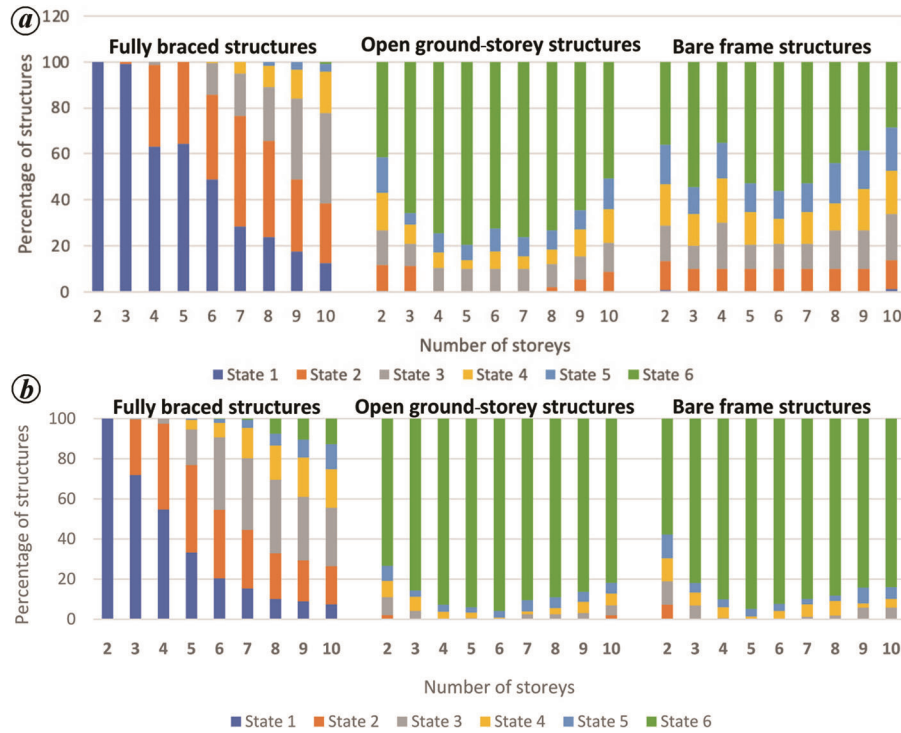


Figure 4. Storey-wise damage state distribution of buildings. *a*, Chamoli GM; *b*, Bhuj GM.

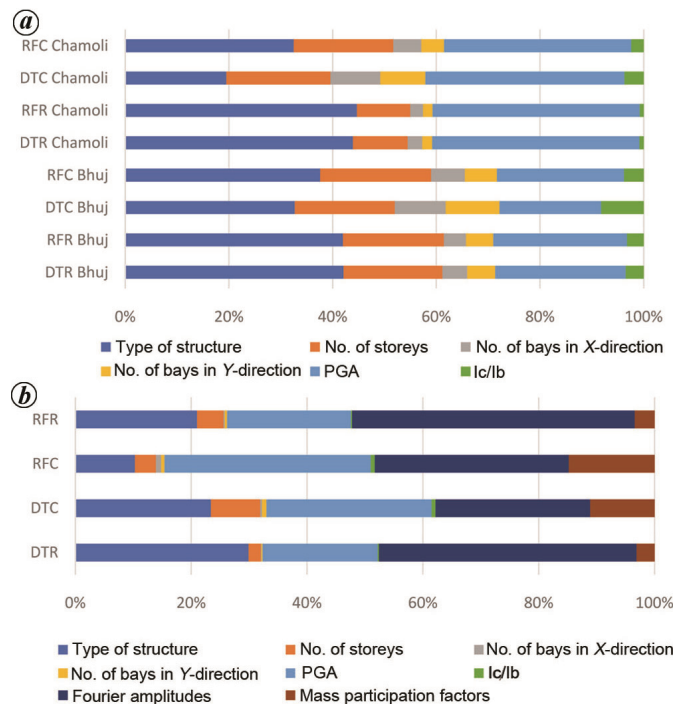


Figure 5. Relative importance of parameters used in various models. *a*, Bhuj and Chamoli GM datasets; *b*, Combined dataset.

Conclusion

Damage estimation of buildings due to earthquakes is important to identify the vulnerabilities and take appro-

priate retrofitting measures to mitigate them. ML has the ability to identify these risks more accurately and efficiently than most RVS methodologies which rely solely on regression analysis. Previous studies for damage estimation

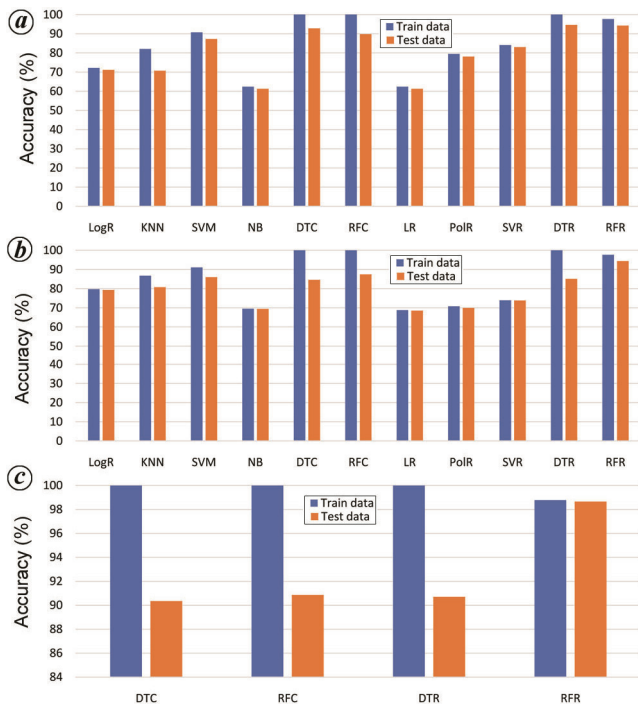


Figure 6. Accuracy of forecasting the damage state by various machine learning algorithms. *a*, Approach 1, Chamoli GM; *b*, Approach 1, Bhuj GM; *c*, Approach 2, combined data.

using ML were limited in scope due to the use of data from earthquake events that had spatial and temporal variations. This meant that the results from one event were not comparable to another event. To overcome this problem, a dataset of 1296 buildings was developed and tested to find best-solution approaches.

RFR with the second approach was found to be an effective way to estimate damage to buildings during earthquakes. Fourier amplitude, PGA and type of structure played a significant role in determining the damage state of buildings. Fully braced structures performed much better than open ground-storey or bare frame structures. This study can be extended by further increasing the complexity of the dataset and testing other ML algorithms.

Conflict of interest: The authors declare that there is no conflict of interest.

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